



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Application of: SONG, et al.

Application No. 09/896,720

Examiner:

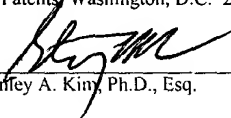
Date Filed: June 29, 2001

Group:

For: UBIQUITIN LIGASE

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class mail in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231 on September 24, 2001.

  
Stanley A. Kiny, Ph.D., Esq.

Reg. No. 42,730

**TRANSMITTAL LETTER**

Box Missing Parts  
Commissioner for Patents  
Washington, DC 20231

Sir:

Please find enclosed for filing the following:

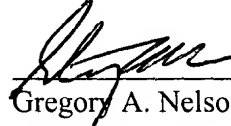
- ☒ Response to Notice to File Missing Parts of Nonprovisional Application;
- ☒ Copy of Notice to File Missing Parts of Non-Provisional Application;
- ☒ Executed Combined Declaration and Power of Attorney for Patent Application;
- ☒ Check in the amount \$65.00;
- ☒ Sequence Listing (paper copy and computer readable form on floppy disk);
- ☒ Statement Under 37 C.F.R. 1.821(f); and
- ☒ 1 postcard.

Please charge any underpayment to Deposit Account No. 50-0951. This transmittal letter

Please charge any underpayment to Deposit Account No. 50-0951. This transmittal letter is submitted in duplicate.

Respectfully submitted,

Date: September 21, 2001



\_\_\_\_\_  
Gregory A. Nelson, Esq.  
Registration No. 30,577  
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Docket No. 5853-173



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In re Application of: SONG, et al.

Application No.: 09/896,720

Examiner:

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Reg. No. 42,730

Stanley A. Kim, Ph.D., Esq.

RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:


In response to the Notice To File Missing Parts Of Nonprovisional Application mailed August 21, 2001 (the "Notice") submitted herewith is a duly executed Declaration for the above-identified application. Also submitted herewith is the surcharge of \$65.00 required under 37 C.F.R. § 1.16(e), and a copy of the Notice.

Applicant herewith submits a substitute computer readable form (CRF) copy and paper copy of the Sequence Listing. Applicant believes that the content of the substitute CRF is the

same as the paper copy of the Sequence Listing. No new matter has been added.

Respectfully submitted,

Date: September 21, 2001

  
\_\_\_\_\_  
Gregory A. Nelson, Esq.  
Registration No. 30,577  
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Docket No. 5853-173



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/896,720	06/29/2001	Wen-Yuan Song	5853-173

CONFIRMATION NO. 8728

## FORMALITIES LETTER



\*OC000000006455773\*

Akerman, Senterfitt & Eidson, P.A.  
Suite 400  
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West Palm Beach, FL 33402-3188



Date Mailed: 08/21/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216

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- To Purchase PatentIn Software, call (703) 306-2600
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*A copy of this notice **MUST** be returned with the reply.*



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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE